

02-06-01

A

02/05/01  
JULY 2002 U. S. PTO

JC6711877139 PRO 02/05/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	UND004
	First Inventor	Gregory Hagan Moulton
	Title	SYSTEM AND METHOD FOR REDUNDANT ARRAY NETWORK STORAGE
	Express Mail Label No.	EL841973895US

<b>APPLICATION ELEMENTS</b>	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
-----------------------------	--

1. <input type="checkbox"/> Fee Transmittal Form <small>(submit an original and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27</small> 3. <input checked="" type="checkbox"/> Specification [ total pages 31 ] <small>(preferred Arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76) 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>
---	---

**ACCOMPANYING APPLICATION PARTS**

9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s)) 10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement <small>(when there is an assignee)</small>	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document	<input type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/> IDS & Form 1449	
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other:	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

FOR CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label or  Correspondence address below

Name	William J. Kubida, Esq.				
	Hogan & Hartson, LLP				
Address	1200 17 <sup>th</sup> Street				
	Suite 1500				
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(719) 448-5909	Fax	(719) 448-5922

Name (Print/Type)	Stuart T. Langley	Registration No.	33,940	
(Signature)	<i>Stuart T. Langley</i>		Date	2/5/01

PATENT  
EXPRESS MAIL NO. EL841973895US  
Attorney Docket No. UND004  
Client/Matter No. 83208.0007

JG971 U.S. PRO  
09/777139  
02/05/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory Hagan Moulton;  
James John Zarbock

Filed: Herewith

For: SYSTEM AND METHOD FOR  
REDUNDANT ARRAY NETWORK  
STORAGE

### Group Art Unit:

Examiner:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application;
2. Utility Patent Transmittal;
3. Unexecuted Declaration;
4. Certificate of Mailing by Express Mail;
5. Return Postcard.

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL841973895US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231, on February 5, 2001.

Date

Date

---

## Mailer

Steve Langley  
Editor

Stuart T. Langley  
Reg. No. 33,940  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(720) 406-5335 Tel  
(303) 899-7333 Fax